

12/07/01  
J1131 U.S. PTO

CONTINUING APPLICATION TRANSMITTAL UNDER RULE 1.53(b)  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No. 004372  
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Docket No. 100021-00065  
Date: December 7, 2001

10/005347  
12/07/01  
jc997 U.S. PTO

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a

☐ Continuation ☒ Divisional ☐ Continuation-in-Part

application of prior pending Application No. \_\_\_\_\_, filed \_\_\_\_\_,

For (Title): SILICON-CONTAINING POLYMER, PROCESS FOR ITS PRODUCTION,  
RESIST COMPOSITION EMPLOYING IT, PATTERN-FORMING METHOD AND  
ELECTRONIC DEVICE FABRICATION METHOD

By (Inventors): 1) Miwa KOZAWA 2) Keiji WATANABE 3) Ei YANO

1. ☒ A Declaration and Power of Attorney is attached. The attached Declaration and Power of Attorney is:
- ☒ a. A copy of the Declaration and Power of Attorney from the parent application. (Used with the same or fewer inventors and (a) a copy of the prior application or (b) a revised, reformatted or edited version of the prior application that does not contain new matter.)
  - ☐ b. A new Declaration and Power of Attorney. (Used with the same, fewer or additional inventors and (a) a copy of the prior application, (b) a revised, reformatted or edited version of the prior application that does not contain new matter, or (c) a new specification.)
2. ☒ The filing fee based on entry of the concurrently filed Preliminary Amendment is calculated below:

CLAIMS IN THE APPLICATION AFTER ENTRY OF  
ANY PRELIMINARY AMENDMENT NOTED BELOW

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	8 - 20	= 0
INDEP CLAIMS	2 - 3	= 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference is less than zero, enter "0".

SMALL ENTITY

RATE	FEE
	\$ 370
× 9 =	\$
× 42 =	\$
+140 =	\$
TOTAL	\$

OR  
OR  
OR  
OR  
OR  
OR

OTHER THAN A  
SMALL ENTITY

RATE	FEE
	\$ 740
× 18	\$
× 84	\$
+280	\$ 280
TOTAL	\$ 1,020

3. ☒ A Check in the amount of \$1,020.00 to cover the filing fee is attached. The Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 01-2300.
4. ☒ Cancel claims 9-17 of the application before calculating the filing fee. At least one independent claim is retained for filing purposes.
5. ☒ Amend the specification by inserting before the first line the sentence:


—This is a Division of Application No. 09/553,479 filed April 20, 2000. The disclosure of the prior application is hereby incorporated by reference herein in its entirety. —

6. ☒ Formal drawings (Figs. 1-3) are attached.

7. ☒ Priority of foreign application Nos. 11-116517 filed April 23, 1999, and 2000-082613 filed March 23, 2000, in Japan is claimed under 35 U.S.C. §119 and/or §365(b).
8. ☒ The certified copy was filed in prior Application No. 09/553,479 on July 13, 2000.
9. ☐ A certified copy of the above foreign application(s) is attached.
10. ☐ Priority of U.S. Provisional Application(s) No. \_\_\_\_\_ filed \_\_\_\_\_ is claimed under 35 U.S.C. §119(e).
11. ☐ Amend the specification by inserting before the first line the sentence:  
--This nonprovisional application claims the benefit of U.S. Provisional Application(s)  
No. \_\_\_\_\_ filed \_\_\_\_\_. --
12. ☐ The prior application is assigned of record to Fujitsu Limited recorded at Reel 010745,  
Frames 0352-0353 on April 20, 2000.
13. ☐ This application is filed by fewer than all the inventors named in the prior application  
(37 C.F.R §1.53(b)(1)). Delete the following inventor(s) named in the prior application:
14. ☐ A Preliminary Amendment is attached.
15. ☒ An Information Disclosure Statement is attached along with Form PTO-1449 and \_\_\_\_\_ references.
16. ☐ Small entity status:  
☐ a. A small entity statement is attached.  
☐ b. A small entity statement was filed in the parent application and such status is still proper  
and desired.  
☐ c. Small entity status is no longer claimed.
17. ☐ Other: \_\_\_\_\_
18. ☐ The Power of Attorney in the application is to:  
Robert B. Murray, Reg. No. 22,980; Charles M. Marmelstein, Reg. No. 25,895; George E. Oram,  
Jr., Reg. No. 27,931; Douglas H. Goldhush, Reg. No. 33,125; Richard J. Berman,  
Reg. No. 39,107; Murat Ozgu, Reg. No. 44,275; Robert K. Carpenter, Reg. No. 34,794; Gregory  
B. Kang, Reg. No. 45,273; Rustan Hill, Reg. No. 37,351; Hans J. Crosby, Reg. No. 44,634; Brian  
A. Tollefson, Reg. No. 46,338; David D. Dzara, Reg. No. 47,543, Lynne D. Anderson,  
Reg. No. 46,412, Michael A. Steinberg, Reg. No. 43,160 and Lynn A. Bristol, Reg. No. 48,898.
19. ☒ Address all future communications to:

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Respectfully submitted,

  
Hans J. Crosby  
Registration No. 44,634

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